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1	PERE TRANSMITTAL	Complete if Known			
9	TPERE TRANSMITTAL	Application Number	10/044,267		
	for FY 2002	■■ Date	1/11/2002		
¥	AR L SE	First Named Inventor	JOHN WILLIAM RICHARDSON et al.		
۵	Patent fees are subject to annual devision.	examiner Name			
Ÿ	DAY OF PALLED	Group / Art Unit			
•	Patent fees are subject to annual evision.  CATILY ATTANION  TOTAL AMOUNT OF PAYMENT (\$) 130	Attorney Docket No.	PU 020012		

		METHOD OF	PAYMENT (chec	k one)				FEE C	ALCULATION (continued)		
1. 🖾		The Commissioner is hereby authorized to charge			3. AD	3. ADDITIONAL FEES					
		indicated fees and credit any over payments to:			F00	Large Entity Fee Fee Fee		Small Entity Fee	_		
Dep	ount	07-0832			Code	(\$)	Code	(\$)	Fee Description	Fee Paid	
Num	ber				105	130	205	65	Surcharge - late filing fee or oath	130	
					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
		THOMSON	multimedia Licens	sing Inc.	139	130	139	130	Non-English specification		
	-	Additional Fa	- Considered		147	2,520	147	2,520	For filing a request for reexamination		
Deposit Account Name  Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27  Payment Enclosed:  Check Credit card Money Order  FEE CALCULATION  1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Pald  101 740 201 370 Utility filing fee 106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee 108 740 208 370 Reissus filing fee			112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
	See 37 C	FR 1.27	iny status.		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
۷. ا	Paymei	nt Enclosed:			115	110	215	55	Extension for reply within first month		
Account Name    THOMSON multimedia Licensing Inc.			116	400	216	200	Extension for reply within second month				
	See 37 CFR 1.27  Payment Enclosed:  Check Credit card Money Order  FEE CALCULATION  BASIC FILING FEE arge Entity Small Entity see Fee Fee Fee Fee Description ode (\$) Code (\$)  T40 201 370 Utility filing fee 06 330 206 165 Design filing fee 07 510 207 255 Plant filing fee 08 740 208 370 Reissue filing fee 18 160 214 80 Provisional filling fee		117	920	217	460	Extension for reply within third month				
1. 1	BASIC FIL	<del>,</del> .	OALOOLATION .		118	1,440	218	720	Extension for reply within fourth month		
_	-				128	1,960	228	980	Extension for reply within fifth month	$\vdash$	
			Fee Descriptio		119	320	219	160	Notice of Appeal		
			I William Gliman San	Fee Paid	120	320	220	160	Filing a brief in support of an appeal		
					121	280	221	140	Request for oral hearing		
107	01     740     201     370     Utility filing fee     120       06     330     206     165     Design filing fee     121       07     510     207     255     Plant filing fee     138       08     740     208     370     Reissue filing fee     140		138	1,510	138	1,510	Petition to institute a public use proceeding				
108		208 370	Reissue filing fe	e	140	110	240	55	Petition to revive – unavoidable		
114	160	214 80	Provisional fillin	fee	141	1,280	241	640	Petition to revive - unintentional		
		CURTOT	A1 (4)	(6) 0	142	1,280	242	640	Utility issue fee (or reissue)		
		305101	AL (1)	(3) 0	143	460	243	230	Design issue fee		
			144	620	244	310	Plant issue fee				
					122	130	122	130	Petitions to the Commissioner		
Total Clair	ns [				123	50	123	50	Processing fee under 37 CFR 1.17 (q)		
		-3" =		= 0	126	180	126	180	Submission of Information Disclosure Stmt		
Multiple Dependent	<u>L</u>		x [	= 0	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Large	Entity	Small Ent	-		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
Fee Code	Fee (\$)	Fee Fe Code (\$)	ree Descrip		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
103	18	203 9			179	740	270	270		<b> </b>	
			•		1		279		Request for Continued Examination (RCE)		
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess 104 280 204 140 Multiple dependent claim, if 1 109 84 209 42 ** Reissue independent claim original patent**		dependent claims over	169	900	169	900	Request for expedited examination of a design application				
original patent  110 18 210 9 ** Reissue claims in excess of 20 and			Other f	Other fee (specify)							
			SUBTOTAL (2)	(\$) 0				_			
**or nun	nber previo	usly paid. if great	ter; For Reissues, s	e above	*Redu	ced by Ba	asic Filin	g Fee Pa	sid SUBTOTAL (3) (\$) 130		

SUBMITTED BY			Complete (if applicable)			
Name (Print/Type)	Joseph J. Koledka	Registration No. Attorney/Agent)	39,731	Telephone	(609) 734-9744	
Signature	Hou!	1 20 001		Date	March 14, 2002	

WARNING: Information on his form may become public. Credit card information should not be included on this form. Proving credit card information and authorization on PTO-2038.

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Serial No.: 10/044,267

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

blicant

: John William Richardson, Kumar Ramaswamy

Serial No.

: 10/044,267

Filed

: January 11, 2002

For

: METHOD AND SYSTEM FOR NOTIFYING CUSTOMER OF

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ATM/DSL HEAD-END NETWORK

## FILING OF MISSING PARTS OF APPLICATION -WITH AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

This is in response to the Notice to File Missing Parts of Application Under 37 February 11, 2002 and relating to the above-identified CFR 1.53(b), mailed application Serial No. 10/044,267. Applicant hereby submits the following and authorizes the following charges to Deposit Account No. 07-0832:

- 1) A surcharge of \$130.00 required under 37 CFR 1.16(e) for filing the Declaration on a date later than the filing date of the application.
- 2) A copy of the Notice to File Missing Parts of Nonprovisional Application.

The Assistant Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 07-0832.

> Respectfully Submitted, John William Richardson

BY:

Joseph 3. Kolodka

Reg. No.: 39,731 (609) 734-9744

THOMSON multimedia Licensing Inc. Patent Operations P. O. Box 5312 Princeton, NJ 08543-5312

DATED: March 14, 2002

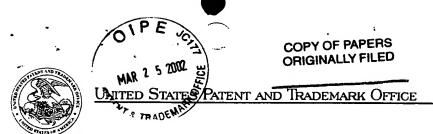
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington,

D.C. 20231 on:

Date

Natalie Sobon-Brottman



COMMISSIONER FOR PATENTS
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/044,267

01/11/2002

John William Richardson

PU020012

CONFIRMATION NO. 7851
FORMALITIES LETTER

\*OC000000007456331\*

JOSEPH S. TRIPOLI THOMSON MULTIMEDIA LICENSING INC. 2 INDEPENDENCE WAY P.O. BOX 5312 PRINCETON, NJ 08543-5312

Date Mailed: 02/11/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/27/2002 BNGUYEN1 00000025 070832 10044267

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A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202
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